

# **Investment Management Certificate Program (IMCP)**

University of Wisconsin-Milwaukee

Lubar School of Business

[www.lubar.uwm.edu/IMCP](http://www.lubar.uwm.edu/IMCP)

## **Class of 2018 Resume Packet:**

Kody Babler

Justin Brant

Kimberly Geary

Philip Godager

Alyssabeth Goodrich

Jacob Hornak

Brian Lee

Daniel Macek

Christian Pacheco

Mitchell Rzentkowski

Carl Schemm

Gurcharan Singh

Andrew Stott

Peter Wycklendt

Karissa Zaroni

## **Contact Information:**

Director: G. Kevin Spellman, CFA, PhD

608-334-2110; [spellman@uwm.edu](mailto:spellman@uwm.edu)

# KODY A. BÄBLER

2728 N. Maryland Ave. • Milwaukee, WI 53211 • (920) 204-9943 • kababler@uwm.edu

---

## EDUCATION:

### University of Wisconsin – Milwaukee

#### **Bachelor of Business Administration**

Major: Finance Minor: Economics

GPA: 3.5 IMCP GPA: 4.0

*Dean's Honor List: Spring 2017, Fall 2017*

Investment Management Certificate Program (lubar.uwm.edu/IMCP, a 21-month CFA University Affiliate Program)

- Learn analyst skills, manage \$7 mil. portfolio of real money, and perform various duties for investment firm
- Monitor and rebalance portfolios, and analyze and pitch individual securities over multiple asset classes

Financing 100% of living expenses through employment while a full-time student

**Graduation: May 2018**

## PROFESSIONAL EXPERIENCE:

### **Physicians Realty Trust (NYSE:DOC)**

*Credit Intern*

- Evaluate, spread, and analyze DOC tenant financial statements and leases
- Develop credit ratings for DOC tenants, compare ratings to that of S&P, Moody's, and Fitch
- Conduct industry research, review and analyze quarterly earnings and expectations for DOC and its competitors
- Analyze S&P Cap IQ demographic data, develop models comparing DOC properties to competitors

**Milwaukee, WI  
January 2018–Present**

### **Manning & Napier (NYSE: MN)**

*Research Intern – Technology, Healthcare*

- Generated and implemented investment ideas based on core strategies of the firm
- Developed financial models and forecasts, conducted valuation analysis, pitched recommendations
- Provided analysis of several domestic and international securities within technology and healthcare industries
- Conducted research on multiple equities, contributing to portfolio allocation decisions
- Utilized research of sell-side analysts to supplement individual research findings

**Rochester, NY  
June 2017–August 2017**

### **Dana Investment Advisors**

*Fixed Income/Equity Research Intern*

- Executed investment strategies and asset allocation within US investment grade fixed income space
- Traded all fixed income securities, balanced multiple portfolios, designed optimal allocation strategies
- Provided effective management of multi-asset portfolios ensuring strict adherence to client investment objectives

**Brookfield, WI  
February 2017–June 2017**

### **Northwestern Mutual**

*Distribution Finance Management Intern*

- Prepared credit ratings for network offices, evaluated metrics, strategized improvements with managing directors
- Analyzed financial statements, prepared budgeting and projection materials for network offices
- Reported monthly financial statements of all network offices, analyzed financial projections to actual results

**Milwaukee, WI  
January 2017–May 2017**

### **U.S. Bancorp**

*Staff Auditor Intern*

- Produced autonomous audit report, prepared reports for audit committee, evaluated and tested internal controls
- Collaborated directly with Ernst & Young, provided assurance over scope of audit work, completed fieldwork

**Milwaukee, WI  
June 2016–August 2016**

### **Norscot Group**

*Accounting Intern*

- Provided ancillary accounting work, created financial statements in preparation for audit, posted journal entries

**Mequon, WI  
February 2016–June 2016**

## TECHNICAL SKILLS:

- Bloomberg Certified, FactSet, Excel, Access, VBA, EVADimensions, Oracle, Netsuite, and S&P Capital IQ
- Language skills: English, Spanish, American Sign Language, Catalan

## ACTIVITIES:

- Elmbrook Humane Society – Portfolio Project **January 2017–Present**
- Public Service Commission of Wisconsin – Projected Cost of Capital **January 2017–May 2017**
- Amplify Trading – Trading the News & Market Fundamentals **November 2016**
- Adirondack Funds – Small Cap Fund Portfolio Competition **October 2016–April 2017**
- UWM Student Investment Club – Consumer Discretionary Analyst **September 2015–Present**
- Children's Miracle Network Dance Marathon, Milwaukee Chapter – Founder **December 2014–December 2015**

*Interests: FC Barcelona, Green Bay Packers, Hiking, Music, Snowboarding, Travel*

# JUSTIN BRANT

3369 N Cramer St • Milwaukee WI 53211 • (414) 254-4698 • jmbrant@uwm.edu

---

## EDUCATION:

### University of Wisconsin – Milwaukee

#### **Bachelor of Business Administration**

**Anticipated Graduation: May 2018**

Major: Finance

Minor: Political Science

Cumulative GPA: 3.5/4.00

*Dean's Honor List: 2017*

Investment Management Certificate Program (21-Months) ([lubar.uwm.edu/IMCP](http://lubar.uwm.edu/IMCP))

- A CFA University Affiliated Program
- Analyze individual securities over multiple asset classes
- Monitor and rebalance portfolios of real money
- Report performance and attribution to both internal and external investment boards for over \$7 million of cumulative AUM

Finance 100% of tuition/living expenses through military benefits and employment while a full-time student

## PROFESSIONAL EXPERIENCE:

### **Northwestern Mutual Investment Management Company, LLC**

**Milwaukee, WI**

*Public Investments Intern*

**February 2017-August 2017**

- Gained exposure to Investment Grade and High Yield teams, managing over \$100 billion in securities
- Updated management through presenting credit outlooks for several emerging markets countries
- Met with industry consultants and sell side analysts to discuss financial and production expectations, macro trends and investment opportunities, and analyzed over 15 companies

### **UWM Military and Veterans Resource Center**

**Milwaukee, WI**

*Project Assistant*

**July 2015–December 2017**

- Assisted in the foundation and continued operation of the University of Wisconsin's Project 22, a program intended to spread awareness of the issue of veteran suicide and provide veterans with services to assist them with post-traumatic stress and depression
- Supported specialist who is involved with day-to-day operations of the resource center

### **United States Marine Corps**

**Various Bases/ Locations**

*1833 AAV Crewman, 0932 Marksmanship Coach, Sergeant E-5*

**July 2010–July 2014**

*Deployed to Afghanistan*

**October 2012 to May 2013**

- Maintained full accountability for supervision, training, and outcome success of multiple Marines
- Managed projects including personnel, and assets worth over \$2 million
- Managed training operations in conjunction with higher management
- Instructed, qualified, and requalified over 100 Marines on various technical competencies

## TECHNICAL SKILLS:

- FactSet and Bloomberg
- Microsoft Excel, Word, and PowerPoint

## OTHER ACTIVITIES:

- Student Investment Club–Assistant Portfolio Manager **Fall 2017–Present**
  - Monitor and rebalance portfolio with \$70,000 of cumulative AUM
- Student Investment Club–Treasurer **Fall 2015-Spring 2017**
  - Manage club finances and create financial statements
- Student Veterans of America-UW Milwaukee Chapter-Treasurer **Spring 2016-Fall 2016**
  - Manage club finances and create financial statements
- Study Abroad **June 2015**
  - Traveled to New York City to participate in the United Nations Summer Seminar
- CFA-Level 1 Candidate

# KIMBERLY GEARY

3237 N. Oakland Ave • Milwaukee WI 53211 • (715) 803-3862 • kgeary@uwm.edu

---

## EDUCATION:

### University of Wisconsin – Milwaukee **Bachelor of Business Administration**

**Anticipated Graduation: May 2018**

Major: Finance

Cumulative GPA: 3.47

*Dean's Honor List: 2014*

Investment Management Certificate Program (21-Months) ([lubar.uwm.edu/IMCP](http://lubar.uwm.edu/IMCP))

- A CFA University Affiliated Program
- Analyze individual securities over multiple asset classes
- Monitor and rebalance portfolios of real money
- Report performance and attribution to both internal and external investment boards for over \$7 mil of cumulative AUM

Finance 75% of tuition/living expenses through employment while a full-time student

## PROFESSIONAL EXPERIENCE:

### **Robert W. Baird**

**Milwaukee, WI**

*Intern*

**November 2016–Present**

- Support team through accounting journal entries, quarterly statement preparation, and training
- Learn valuable system knowledge through utilizing Accounting, Report Wizard, Excel, DataExchange website, and Sungard Investran website to create, update, and send financial statements to clients
- Sharpened professional skills through creating and emailing private equity partners and their project companies quarterly invoices as billing intern
- Intern case competition runner up

### **Apple Retail**

**Glendale, WI**

*Technical Specialist*

**December 2014–November 2016**

- Utilized great communication and interpersonal skills to assist customers with computer and phone repairs
- Gained experience meeting deadlines and multitasking through working in a fast paced, high intensity environment
- Received personal customer compliments on my high attention to detail and understanding of technology

## TECHNICAL SKILLS:

- Investran Reporting and PeopleSoft
- Bloomberg and FactSet
- Microsoft Excel, Word, PowerPoint

## OTHER ACTIVITIES:

*Waterski Club–Team Captain*

**January 2016–December 2016**

- Assisted in organizing events and participated in biweekly Sports Club meetings
- Represented team in USA Waterski meeting, and vote on national bylaws

*Abroad Studies*

**June 2016–August 2016**

- Summer semester (five weeks) in South Korea studying management skills
- Learned valuable information on variances in culture related to both business and nonbusiness behaviors
- Coordinated farewell banquet activities and as Microphone Controller

# PHILIP GODAGER

1443 N. 53<sup>rd</sup> St • Milwaukee WI 53208 • (414) 491-3827 • PGodager@live.com

---

## EDUCATION:

### University of Wisconsin – Milwaukee

#### **Bachelor of Business Administration**

**Anticipated Graduation: May 2018**

Major: Finance

Cumulative GPA: 3.78/4.00

*Dean's Honor List: 2014, 2015, 2016, 2017*

Investment Management Certificate Program (21-Months) ([lubar.uwm.edu/IMCP](http://lubar.uwm.edu/IMCP))

- A CFA University Affiliated Program
- Analyze individual securities over multiple asset classes
- Monitor and rebalance portfolios of real money
- Report performance and attribution to internal and external investment boards that oversee \$7M of cumulative AUM

## PROFESSIONAL EXPERIENCE:

### **Alpha Investment Consulting Group, LLC.**

**Milwaukee, WI**

*Research Analyst Intern*

**March 2017–Present**

- Participate in conducting manager due diligence through in-person and telephonic meetings
- Compile and assess fund performance and investment style by asset class
- Research, analyze, and present independently generated market statistics for internal use and client communications

### **AT&T**

**Glendale, WI**

*Sales Support Representative*

**May 2016–April 2017**

- Develop and implement workflow management procedures with Microsoft Word and Excel to eliminate waste and maximize store productivity
- Maintain back office financial operations and process paperwork according to company policy
- Account for more than \$2M of yearly inventory and over \$10K in daily cash bank deposits

*Retail Sales Consultant*

**October 2014–April 2016**

- Facilitate customers politely and professionally while managing the sales process to increase company revenues, reputation, and customer satisfaction
- Conducted new hire orientation and training and assisted in managerial duties

### **U.S. Army**

**Joint Base Lewis-McChord, WA**

*Human Intelligence Collector*

**April 2011–June 2014**

- Conducted over 50 meetings with civilians and service members leading to the production of over 200 administrative and information reports, resulting in the increased safety of friendly forces
- Developed and conducted several classes for peers regarding interpersonal relationships, time management, report writing, research and preparation, PowerPoint production, and analytic skill building
- Certified to conduct Military Source Operations – Level 2
- Awarded two Army Commendation Medals, an Army Achievement Medal, and a Good Conduct Medal
- Authorized Top Secret-Sensitive Compartmentalized Information (TS-SCI) clearance

## TECHNICAL SKILLS:

- Bloomberg, EVA Express, and FactSet
- Microsoft Excel, Word, PowerPoint, VBA Programming

## OTHER ACTIVITIES:

- Student Investment Club
- CFA – Level 1 Candidate

**March 2016–Present**

# ALYSSABETH GOODRICH

Milwaukee WI 53211 • (920) 562-2976 • goodri24@uwm.edu

---

CFA Level I Candidate

June 2018

## EDUCATION:

### University of Wisconsin – Milwaukee

#### **Bachelor of Business Administration**

**Anticipated Graduation: May 2018**

Major: Finance

Major GPA: 3.5/4.0

Cumulative GPA: 3.4/4.0

*Dean's Honor List: 2014, 2016, 2017*

Investment Management Certificate Program (21-Months) ([lubar.uwm.edu/IMCP](http://lubar.uwm.edu/IMCP))

- A CFA University Affiliated Program
- Analyze individual securities over multiple asset classes
- Monitor and rebalance portfolios of real money
- Report performance and attribution to both internal and external investment boards that oversee over seven million dollars of cumulative AUM

## PROFESSIONAL EXPERIENCE:

### **Hogan Financial Management**

**Milwaukee, WI**

*Private Wealth Intern*

**March 2017–Present**

- Partner with financial advisory team to update client files
- Create internal and client-facing reports
- Streamline processes to remove inefficiencies
- Research projects to improve planning strategies
- Review client portfolios and analyze potential investments

### **Office of Undergraduate Admissions- UW-Milwaukee**

**Milwaukee, WI**

*Student Outreach Assistant*

**August 2015–September 2017**

- Guided future students and parents through the application process
- Assisted current students with a variety of needs
- Maintained call records

### **Shopko Eye Care Center**

**Green Bay, WI**

*Certified Optician*

**August 2013–January 2017**

- Played a critical role in ensuring a patient focused experience
- Performed prescreening test and complete follow up assessment with patients after their examination
- Assisted with frame and lens selection base on patients' lifestyles and prescription needs
- Responsible for accurate sales, billing, and insurance processing

## TECHNICAL SKILLS:

- Bloomberg, Factset, Axioma and Barra
- Microsoft Excel, Word, and PowerPoint
- Visual Basics for Applications
- Google Analytics
- ICD-10 Coding
- Technical Support

## OTHER ACTIVITIES:

Children's Hospital of Wisconsin - NICU Inpatient Care Volunteer

**March 2017**

LYFT Campus Ambassador

**Fall 2017**

Deloitte FanTAXtic Competition

**November 2015**

- Placed first in the regional competition against 9 locals teams
- Traveled to Dallas, TX to participate in the national competition

**January 2016**

# JACOB HORNAK

7616 3 Mile Road Franksville, WI 53126 • 262-210-4195 • jthornak@uwm.edu

---

## EDUCATION

### University of Wisconsin-Milwaukee **Bachelor of Business Administration**

Milwaukee, WI

Anticipated Graduation: May 2018

Major: Finance

Cumulative GPA: 3.87/4.00

*Dean's Honors List: 2016*

Investment Management Certificate Program (21-Months) ([lubar.uwm.edu/IMCP](http://lubar.uwm.edu/IMCP))

- A CFA University Affiliated Program
- Analyze individual securities over multiple asset classes
- Monitor and rebalance portfolios of real money
- Report performance and attribution to both internal and external investment boards for over \$7 mil of cumulative AUM

### University of Wisconsin-Parkside

Kenosha, WI

Major: General Studies

2013–2015

Cumulative GPA: 3.83/4.00

*Dean's Honors List: 2013-2015*

## PROFESSIONAL EXPERIENCE:

### **McCarthy Grittinger Financial Group**

Milwaukee, WI

*Financial Planning Intern*

June 2017–Present

- Develop and utilize model to analyze client legacy holdings to determine potential trades
- Research and provide material for quarterly client newsletter
- Input and ensure consistent client information across various databases
- Study portfolio theory, tax planning, and estate planning

### **Living As A Leader**

Brookfield, WI

*Entrepreneurship Intern*

September–December 2016

- Write formal documents such as *BizTimes* article, marketing newsletters, book summary for website
- Provide development support for implementation of new leadership development blog
- Research potential target market and provide outreach via phone and email

### **Menards**

Racine, WI

*Sales Associate*

March 2015–August 2016

- Ensure guest satisfaction while executing strategic sales process with focus on add-on sales
- Utilize organizational computer system for inventory, product information, ordering, and shipping
- Merchandise to maximize sales, verify inventory counts, and handle stock upkeep

### **Evolve Concepts**

Union Grove, WI

*Fabricator and Production Assistant*

January 2011–2015

- Fabricate and provide production support for experiential marketing campaigns

## TECHNICAL SKILLS

- FactSet, Bloomberg, Morningstar Principia, Portfolio Center, Tamarac Advisor Rebalancing
- Microsoft Word, Excel, and PowerPoint
- Junxure, Salesforce, MailChimp, Constant Contact, VerticalResponse

## OTHER ACTIVITIES

- FPA member–read *Journal of Financial Planning*, forum, and attend events **January 2017–Present**
- Actively read and create book reflection blog post after every book **August 2015–Present**
- Provide comprehensive drum lessons on technique, theory, and reading **June 2017–Present**

# BRIAN LEE

1550 N Edison Street • Milwaukee, WI 53202 • (262) 397-7682 • Lee526@uwm.edu

---

## EDUCATION:

### University of Wisconsin – Milwaukee

#### Master of Science in Management: Financial Analysis

Anticipated Graduation: May 2018

Major: Financial Analysis

Cumulative GPA: 3.76/4.00

Investment Management Certificate Program (21-Months) ([lubar.uwm.edu/IMCP](http://lubar.uwm.edu/IMCP))

- A CFA University Affiliated Program
- Analyze individual securities over multiple asset classes
- Monitor and rebalance portfolios
- Report performance and attribution to both internal and external investment boards for over \$7 mil of cumulative AUM

### University of Wisconsin – Whitewater

#### Bachelors of Business Administration

September 2010-June 2015

Major: Finance with Real Estate emphasis & Marketing

Cumulative GPA: 3.27/4.00

*Dean's Honor List: 2010, 2014, 2015*

Financial Analysis with Bloomberg, Morningstar, and Excel

- Course provided experience with financial software to perform financial analysis and create financial documents

## PROFESSIONAL EXPERIENCE:

### Riverwater Partners LLC

Milwaukee, WI

*Research Intern*

June 2017 – Present

- Perform multi-cap fundamental equity analysis utilizing the *Riverwater Three Pillar Approach*
- Meet with multiple management teams, perform research, develop models, and pitch stocks

### Commercial Bank

Whitewater, WI

*Bank Teller*

December 2011 - June 2012

- Assisted bank customers with opening new accounts and closing old accounts
- Acted to promote bank products: debit cards, special savings accounts, and certificates of deposit

### Lee Precision Inc.

Hartford, WI

*CNC Operator / Data Entry*

November 2009 - June 2017

- CNC (computer numerically controlled) operation and maintenance
- Data analysis of reloading data and measurements to be entered into modern reloading database

## TECHNICAL SKILLS:

- Bloomberg
- FactSet
- Microsoft Excel, Word, PowerPoint, and Access
- CFA Level I Candidate

## OTHER ACTIVITIES:

- **Participant in CFA Competition** 2017
  - Created a paper and presented a pitch for Marcus Corporation
- **Certified Private Pilot** 2006-Present
  - Continuing with training for helicopter and high-performance aircraft
- **Participant in ACG Cup Competition** 2016
  - Created and presented a pitch for an M&A case-based competition
- **Active Management of Personal Investment Portfolio** 2010-Present
  - Investing personal funds with steady growth



# DANIEL MACEK

4566 W Deer Run Dr #204 • Milwaukee, WI 53223 • (262) 416-8220 • dhmacek@uwm.edu

---

## EDUCATION:

### University of Wisconsin-Milwaukee

#### **Bachelor of Business Administration**

**Anticipated Graduation: May 2018**

Majors: Finance and Accounting

Cumulative GPA: 3.3

Finance GPA: 3.5

Investment Management Certificate Program (21-Months) ([lubar.uwm.edu/IMCP](http://lubar.uwm.edu/IMCP))

- CFA University Affiliated Program
- Analyze individual securities over multiple asset classes
- Monitor and rebalance portfolios of real money
- Report performance and attribution to both internal and external investment boards for over \$7 million of cumulative AUM

## PROFESSIONAL EXPERIENCE:

### **Piper Jaffray**

**Milwaukee, WI**

*Fixed Income Sales and Trading Intern*

**May 2017-Present**

- Aid in screening and analysis of mortgage-backed securities
- Create client presentations and security write-ups
- Build security cash flows using Bloomberg API
- Reconcile hedge positions
- Assist traders and salesmen in day-to-day activities

### **UWM SARUP Wood Shop**

**Milwaukee, WI**

*Technician*

**Apr 2015-Present**

- Assist students with wood shop machinery, including high-detailed areas such as CNC machines
- Ensure safety of students by communicating with coworkers and remaining attentive and aware
- Manage wood shop and assist with events taking place within SARUP

### **National Government Services**

**West Allis, WI**

*Audit and Reimbursement Intern*

**May 2016-Sept 2016**

- Completed desk reviews and settlement of Medicare cost reports
- Collected and disburse Medicare funds to institutional providers
- Reported results and assist in the review of policies to ensure that all SOPs are being followed
- Performed other accounting projects/provided administrative support

### **Culver's Restaurant**

**Port Washington, WI**

*Crew Member*

**Nov 2011-Nov 2013**

### **United States Soccer Federation**

**Port Washington, WI**

*Referee*

**Feb 2007-Nov 2011**

## TECHNICAL SKILLS:

- FactSet, Bloomberg Certified
- Matlab Onramp course completed
- Microsoft Word, Excel, PowerPoint, Outlook, Access

## OTHER ACTIVITIES:

- Portfolio Manager of Student Investment Club
- Collegiate Entrepreneur Organization

# CHRISTIAN R. PACHECO

500 Shelbourne Ct 77 • Racine WI 53402 • (212) 390-1969 • cpacheco@uwm.edu

CFA Level I Candidate

December 2017

## EDUCATION:

### University of Wisconsin – Milwaukee

Milwaukee, WI

*Bachelor of Business Administration*

**Anticipated Graduation: May 2018**

Major: Finance, Relevant Coursework: Finance / Accounting / Economics

Major GPA: 3.470/4.000. Dean's list Lubar School of Business, Fall 2015, Fall 2016

Magdalena Ocampo (analyst on #2 *Institutional Investor* ranked strategy team) IMCP Scholarship recipient for 2017-2018

Alois Bulawa Scholarship recipient for academic year 2016-2017

**Investment Management Certificate Program** (21-Months) (lubar.uwm.edu/IMCP)

- A CFA University Affiliated Program
- Analyze individual securities over multiple asset classes
- Monitor and rebalance portfolios of real money
- Report performance and attribution to internal and external investment boards for over \$7 mil of AUM

Financed 100% of tuition and living expenses through academic scholarships and employment while a full-time student

## PROFESSIONAL EXPERIENCE:

### **Robert W. Baird & Co.**

Milwaukee, WI

*Quantitative Resource Group Internship – Public Finance*

**September 2017 – Present**

- Work closely with public finance bankers, support bankers, quantitative analysts and marketing coordinators to gain first-hand experience in primary market municipal bond origination
- Update deal tracking files, clients' existing debt files, marketing material, and run various Bloomberg queries
- Monitor and update refunding model
- Assist in creation of financing plans – e.g., run refunding scenarios on clients' outstanding debt

*Account Transactions Internship – IRA Group*

**April 2017 – September 2017**

- Assisted full-time IRA specialists with processing contributions and distributions for all types of individual retirement accounts to ensure compliance with tac code and prevent penalties for clients and fines for the firm

### **Educators Credit Union**

Racine, WI

*Member Finance Representative – Central Office*

**May 2015 – September 2016**

- Educated and advised on products and services to increase financial literacy and achieve financial success
- Analyzed Equifax credit reports for individuals to determine credit risk and financial capacity for loan applications
- Originated and closed loans: credit card, personal, auto, mortgage refinancing under \$100,000 with 80% LTV
- Helped members save over \$90,000 through refinances with Fast Lane Finance program
- Select Client Experience: *Residential Property Refinance*
  - Saved over \$9,000 through careful situation analysis and assessment of property's equity. Refinanced property to include: 1<sup>st</sup>, 2<sup>nd</sup> mortgage and \$15,000 in variable rate credit card debt to 1 payment at 1 fixed rate

*Interactive Teller Agent – ITM Group*

**November 2014 – May 2015**

- Added value to new ITM technology for members by helping them redeem over \$7,000 in unclaimed rewards
- Led department for fastest transaction time and number of sessions for six consecutive weeks

*Member Service Representative, Response Center*

**May 2014 – November 2014**

- Analyzed available CD and money market options to recommended best choice for members
- Identified and prevented fraud from occurring on cards to help company save thousands of dollars

## PROFESSIONAL SKILLS:

- DBC Finance, Bloomberg, FactSet, MS Office: Word, PowerPoint, Excel, Outlook
- Previous Certifications: Wisconsin Notary Public, Commission Dates 05/29/2015-05/28/2019; NMLS 1347399
- Languages: Native in English and Spanish and able to read and write with professional proficiency

## OTHER ACTIVITIES:

### **Public Service Commission of Wisconsin**

Milwaukee, WI

*Cost of Capital for Utility Companies*

**February – May 2017**

- Calculated the cost of capital for utility companies by analyzing historical interest rates, expected returns

### **Duff & Phelps Investment Banking Competition**

Milwaukee, WI

*Investment Banking Group Competition Participant*

**October – November 2016**

- Estimated FMV of HBO using DCF, comparable company analysis, and precedent transaction approaches
- Analyzed strategic position, industry environment and financial condition
- Compared strategic and financial buyers for HBO to recommend optimal acquisition scenario

# MITCHELL RZENTKOWSKI

5535 Berkshire Ct • New Berlin WI 53151 • (414) 391-4159 • rzentko3@uwm.edu

---

## EDUCATION:

### University of Wisconsin – Milwaukee

**Masters of Science in Management- Taxation (MS-T)**

**Anticipated Graduation: May 2017**

Major: Finance & Accounting

Cumulative GPA: 4.00

*Dean's Honor List: 2016*

Investment Management Certificate Program (21-Months) ([lubar.uwm.edu/IMCP](http://lubar.uwm.edu/IMCP))

- A CFA University Affiliated Program
- Analyze individual securities over multiple asset classes
- Monitor and rebalance portfolios of real money
- Report performance and attribution to both internal and external investment boards that oversee over \$7 million of cumulative AUM

Financed 100% of tuition/living expenses through employment while a full-time student

### University of Wisconsin – Milwaukee

Major: Accounting & Finance

**2012–2016**

Cumulative GPA 3.98/4.00

*Dean's Honor List: 2012,2013,2014,2015*

## PROFESSIONAL EXPERIENCE:

### **Grant Thornton, LLP**

*Private Wealth Tax Intern*

**Milwaukee, WI**

**June 2016–August 2016**

- Identified and resolved technical tax issues, and assisted in the review of tax preparations
- Examined, and assessed various tax issues while also providing information to senior staff
- Responded to inquiries from the IRS and other tax authorities

### **KIA of North Grand Rapids**

*Management Intern*

**Grand Rapids, MI**

**December 2015–February 2016**

- Assisted & participated in daily sales meetings
- Reviewed daily and monthly financial progress with the controller
- Executed new car orders and rebate plans with the district KIA representative

### **AGK Accounting LLC**

*Personal Income Tax Intern*

**Fort Atkinson, WI**

**January 2015–May 2015**

- Aided with payroll, tax, and account processing
- Completed and processed over 300 individual tax returns
- Supported the enrolled agent on s-corps, partnerships, and farm returns

## TECHNICAL SKILLS:

- Bloomberg, EVA Express, and FactSet
- Microsoft Excel, Word, PowerPoint, VBA programming
- GoSystem tax processing

# CARL L. SCHEMM

7144 Tamarack Ct. • Mequon, WI 53092 • (920) 650-3355 • clschemm@uwm.edu

---

## CFA Candidate

- *Level I Exam - Passed 2015*
- *Level II Exam - Passed 2016*
- *Level III Exam - Passed 2017*

## **EDUCATION:**

### University of Wisconsin - Milwaukee

#### **Master of Science in Management – Finance Analysis**

Cumulative GPA: 4.0 / 4.0

Investment Management Certificate Program (21-Months) ([lubar.uwm.edu/IMCP](http://lubar.uwm.edu/IMCP))

- A CFA Institute University Affiliated Program
- Analyze individual securities over multiple asset classes
- Monitor and rebalance portfolios of real money
- Report performance and attribution to both internal and external investment boards for over \$7 million of cumulative AUM

Milwaukee, WI

**Anticipated Graduation: May 2018**

### University of Wisconsin Oshkosh

#### **Bachelor of Business Administration in Finance & Economics**

Minor in global business & emphasis in cost management

Cumulative GPA: 3.6 / 4.0

*Cum Laude, Dean's List: 3 Semesters, Honor Roll: 6 Semesters*

- Student-Managed Endowment Fund: Portfolio Manager, Analyst
- CFA Institute Research Challenge

Oshkosh, WI

**Graduation: June 2015**

**September 2014 – May 2015**

**November 2014 – February 2015**

## **PROFESSIONAL EXPERIENCE:**

### **State of Wisconsin Investment Board**

*Securities Analyst Intern – Public Equities*

- Assist equity research analysts in company and industry research and valuation analysis
- Perform discounted cash flow (DCF) modeling and employ relative valuation methods to assess attractiveness of investments
- Interact with sell-side analysts and company management teams to help form and revise investment options

Madison, WI

**June 2017 - Present**

### **UMB Fund Services**

*Mutual Fund Accountant I*

- Calculated funds' net asset values to report to advisors, reporting agencies, transfer agents, and analysts
- Recorded transactions and communicated holdings and cash availability information to portfolio managers
- Researched corporate action, dividend, and interest information through resources such as Bloomberg

Milwaukee, WI

**June 2015 – August 2016**

### **Best Buy**

*Computing Sales Consultant*

- Advised clients on solutions to their product need with knowledgeable, unbiased information
- Achieved the top salesperson ranking in the store in terms of revenue per hour

Oshkosh, WI

**August 2014 – June 2015**

## **TECHNICAL SKILLS:**

- Bloomberg Market Concepts, FactSet, Morningstar
- Word, Excel, PowerPoint, Outlook, and Access

## **HONORS AND ACTIVITIES:**

- ACG CUP (investment banking competition) Participant **November 2016 – Present**
- Student Leadership Award Recipient **May 2015**
- Who's Who Among Students in American Universities & Colleges Award **May 2015**
- Beta Gamma Sigma Honor Society **January 2015 – Present**
- Ross McKenzie Lang Memorial Scholarship Recipient **January 2015**
- UW Oshkosh College of Business Ambassador **September 2014 – May 2015**
- Economics of Scotland Study Abroad Program **June 2013**
- Wisconsin Covenant Scholar **May 2011**
- Masonic Foundation Scholarship Recipient **May 2011**

# GURCHARAN SINGH

• Brookfield WI 53045 • 262.347.8789 • g.s.singh@outlook.com

---

## EDUCATION:

### University of Wisconsin – Milwaukee

#### **Bachelor of Business Administration**

**Expected Graduation: May 2018**

Major: Finance

Cumulative GPA **3.57/4.00**; Business & Econ GPA: **3.70/4.00**; Last 42 Credits: **3.95/4.00**

*Dean's Honor List: 2014 - 2016*

Investment Management Certificate Program (21-Months) ([lubar.uwm.edu/IMCP](http://lubar.uwm.edu/IMCP))

- A CFA University Affiliated Program
- Analyze individual securities over multiple asset classes
- Monitor and rebalance portfolios of real money
- Report performance and attribution to both internal and external investment boards for over \$7 million of cumulative AUM

## PROFESSIONAL EXPERIENCE

### **Robert W. Baird**

**Milwaukee, WI**

*Investment Analyst Intern – Baird Advisors*

**May 2017 – August 2017**

- Buy-side summer internship that focused on municipal credit research for Baird Advisors (a fixed income asset management firm) - Baird Advisors was a finalist for the Morningstar 2016 Fixed Income Fund Manager of the Year Award for its management of the Baird Core Plus Bond Fund (BCOIX)
- Created and updated new issue deal sheets for fixed income securities through BVAL and CDRA Bloomberg functions
- Researched securities in various sectors of the complex municipal bond market by thoroughly analyzing preliminary official statements, roadshow documents, and using Bloomberg
- Constructed municipal bond portfolio for summer project; incorporated several Baird Advisors themes into my investment philosophy such as the fund's unique duration neutral approach
- Maintained and checked Belle Haven account through Perform software to monitor bought and sold inventory; was responsible for notifying when to reduce and/or remove CUSIPs from portfolio

### **Satgur Corporation**

**Milwaukee, WI**

*Restaurant Operations Manager*

**October 2008 – Present**

- Direct and coordinate organization's financial and budget activities to determine funding requirements, capital structure and financing decisions
- Build comprehensive financial model to evaluate feasibility for new restaurant ventures
- Lead targeted marketing efforts at the millennial demographics via social media strategies, resulting in a 27% increase in social media following and revenue increase of \$23,000 last year
- Perform industry and market research to identify new revenue streams and identify key growth drivers; allocated resources in growing catering business resulting in annual revenue increasing by 35% YoY
- Decreased payroll expense in 2015 by 6% YoY through eliminating inefficiencies in staffing schedules and reducing idle time

## TECHNICAL SKILLS & OTHER ACTIVITIES

- Bloomberg, FactSet - Axioma & PA, Investortools - Perform
- Microsoft Excel, PowerPoint, Outlook, OneNote
- Student Investment Club – lead financial sector analyst
- Passions include basketball, film/cinema, investments, and astronomy

# ANDREW STOTT

2036 N Prospect Ave Unit 1504 • Milwaukee, WI 53202 • (262) 389-4321 • arstott@uwm.edu

---

## EDUCATION:

### 2018 Level 2 CFA Candidate

June 2018

### University of Wisconsin-Milwaukee

Milwaukee, WI

Master's of Science:

Anticipated Graduation Date: May 2018

Major: Finance Analysis

Cumulative GPA: 4.000/4.000

Investment Management Certificate Program (21-Months) ([lubar.uwm.edu/IMCP](http://lubar.uwm.edu/IMCP))

- CFA University Affiliated Program
- Analyze individual securities over multiple asset classes
- Monitor and rebalance portfolios of real money
- Report performance and attribution to both internal and external investment boards for over \$7 mil of cumulative AUM

Finance 100% of tuition living expenses through self-employment (ARS Gaming LLC) while a full time student

### University of Wisconsin-Madison

Madison, WI

Bachelor's of Science

2004-2008

Majors: Physics and Economics

- Member of Delta Theta Sigma Fraternity-Charity and volunteer work at Dane County Fairs

## PROFESSIONAL EXPERIENCE:

### Dana Investment Advisors

June 2016-Present

*Fixed Income Analyst Intern*

- **Research** convertible Securities
- **Calculate** lowest duration/highest yield ETF portfolios
- **Trade** bonds for portfolios
- **Model** portfolio characteristics using BondEdge/Excel

### ARS Gaming, LLC

January 2009-Present

*Poker Player, owner*

- **Prepare, analyze** and *solve game theory* trees
- **Group Study/Networking:** Began and joined several groups
- **Coached** four part-time players from losing to combined \$60,000 winners year over year
- **Review** past performance: Weekly review to determine better ways to play hands
- **Research:** Psychology, Mindfulness, Buddhism, Physiology, Statistics, Game Theory

## TECHNICAL SKILLS:

- FactSet, Bloomberg
- Microsoft Excel, Word, PowerPoint, Access
- R, SAS Jump, Mat-Lab, Graphical Analysis

## OTHER ACTIVITIES:

- ACG Cup (investment banking competition) Participant
- American Physical Society Member (Physics)

2015, 2017  
2006-Present

# PETER G. WYCKLENDT

4485 North Woodburn Street • Shorewood, Wisconsin 53211 • (414) 517-4361 • pgw@uwm.edu

---

## EDUCATION:

### University of Wisconsin – Milwaukee

#### **Bachelor of Business Administration**

**Anticipated Graduation: May 2018**

Majors: Accounting and Finance

Cumulative GPA: 3.88/4.00

*Dean's Honor List: 2015, 2016, 2017*

Investment Management Certificate Program (21-Months) ([lubar.uwm.edu/IMCP](http://lubar.uwm.edu/IMCP))

- A CFA University Affiliated Program
- Analyze individual securities over multiple asset classes
- Monitor and rebalance portfolios of real assets
- Report performance and attribution to both internal and external investment boards that oversee over \$7 million of cumulative AUM

Finance 100% of tuition/living expenses through employment while a full-time student

## PROFESSIONAL EXPERIENCE:

### **Robert W. Baird & Co. Incorporated**

**Milwaukee, WI**

*Asset Management Intern – SAM Portfolio*

**March 2017–Present**

- Provide research and analysis on distinct holdings within the portfolio
- Create and continuously develop a PRIME sheet used to analyze current and potential holdings
- Analyze and back test financial information used by superiors to actively manage money
- Support portfolio managers by completing tasks that pertain to the team

### **Robert W. Baird & Co. Incorporated**

**Milwaukee, WI**

*Account Transaction Intern*

**May 2016–December 2016**

- Facilitated transfers of equities within client accounts at Robert W. Baird to assist financial advisors in client management
- Sent and received client cash through multiple formats, including Automated Clearing House and wires
- Evaluated whether accounts were subject to Regulation T or have had liquidation violations in order to notify advisors and issue a fine
- Deposited checks into client accounts to ensure funds for trades

### **FirstPathway Partners**

**Milwaukee, WI**

*Investor Program Intern*

**June 2015–May 2016**

- Researched information pertaining to the Immigrant Investor Program to identify opportunities for qualifying investments in the U.S economy
- Tabulated and organized business-related data for future reference
- Performed general office tasks, including digital file maintenance and digital media editing, to ensure timely workflow and organization of key information

## TECHNICAL SKILLS:

- FactSet, Bloomberg certified
- Microsoft Excel, Word, PowerPoint

## OTHER ACTIVITIES:

- University of Wisconsin-Milwaukee
  - Member of Lubar School Business Scholars, a program for students with high achievement
  - Member of UWM Habitat for Humanity, serving as volunteer home builder
  - Intramural athletics, participating in basketball

# KARISSA ZANONI

21345 Partridge Ct. • Brookfield WI 53045 • (262) 364-6243 • knzanoni@uwm.edu

---

## EDUCATION:

### University of Wisconsin – Milwaukee

#### **Bachelor of Business Administration**

**Anticipated Graduation: May 2018**

Major: Finance & Accounting

Cumulative GPA: 3.64/4.00

*Dean's Honor List: 2013, 2014, 2016, 2017*

Investment Management Certificate Program (21-Months) ([lubar.uwm.edu/IMCP](http://lubar.uwm.edu/IMCP))

- A CFA University Affiliated Program
- Analyze individual securities over multiple asset classes
- Monitor and rebalance portfolios of real money
- Report performance and attribution to internal and external investment boards for over \$7 mil of AUM

## PROFESSIONAL EXPERIENCE:

### **Riverwater Partners LLC**

**Milwaukee, WI**

*Research Intern*

**May 2017-Present**

- Attend meetings with various company management teams
- Participate in research meetings, pitching stocks and discussing investment decisions
- Sector coverage: Financials & Consumer sectors

### **Capital Market Consultants**

**Milwaukee, WI**

*Finance Associate Intern*

**October 2016-May 2017**

- Contact funds and review fund questionnaires used to analyze and write reports
- Use Morningstar Direct to create fact sheets, performance reviews and quarterly reviews
- Assist Senior Research Analysts in the production of various reports and client deliverables

### **Starbucks**

**Brookfield, WI**

*Barista*

**Aug 2015-February 2016**

- Knowledgeable of upcoming promotions, recipes and retail items
- Follow guidelines and tasks listed to ensure a clean environment

## TECHNICAL SKILLS:

- Axioma and Northfield factor performance and risk analytical programs
- Bloomberg Certified, FactSet and Morningstar Direct
- Microsoft Excel, Word, and PowerPoint

## HONORS AND ACTIVITIES:

- Recipient of Ronald and Marjorie Krizek Scholarship in Finance for 2017-2018—\$3,500
- Elmbrook Humane Society—Portfolio Project **January 2018—Present**
- Aurora Healing Center Volunteer—12 hours per month **April 2017—Present**
- CFA Research Challenge Competition—Assigned Equity: MCS **October 2017—February 2018**
- Public Service Commission of Wisconsin—Utility Cost of Capital Project **January 2017—May 2017**
- Adirondack Funds—Small Cap Fund Portfolio Competition **October 2016—April 2017**
- Student Investment Club—Member **January 2016—January 2017**
- Amplify Trading—Trading the News & Market Fundamentals **November 2016**
- Production Club—Assistant Director/Production Assistant **September 2014—May 2015**
- National Society of Collegiate Scholars—Treasurer Officer **January 2014—May 2015**